

RECENT ADVANCES IN DOSE-FINDING: AN INTRODUCTION

Pavel Mozgunov, MRC Biostatistics Unit, University of Cambridge
PSI 2026, Belfast, UK



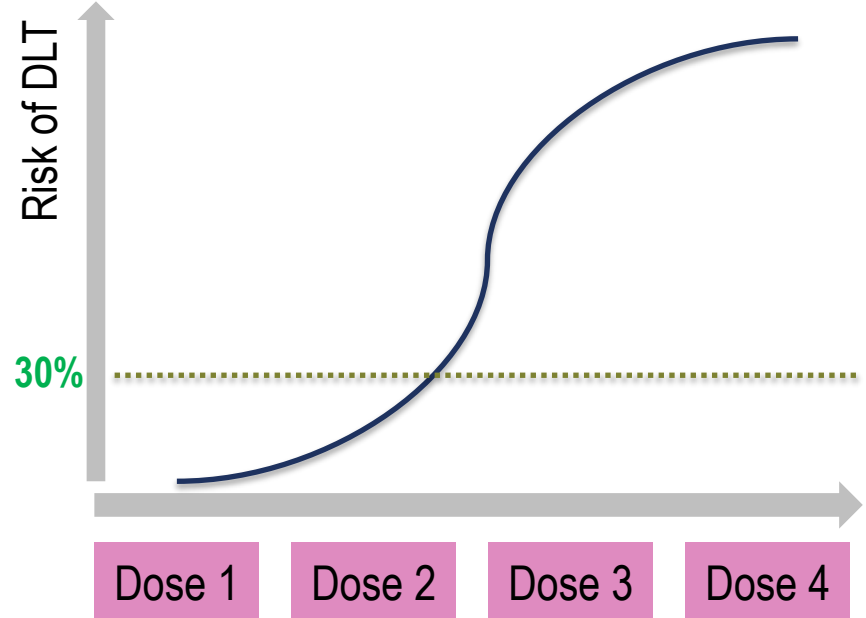
**MRC
Biostatistics
Unit**



**UNIVERSITY OF
CAMBRIDGE**

CONVENTIONAL DOSE-FINDING IN SINGLE-AGENT TRIALS

- › Several doses of an experimental agent
- › First-in-human trial
- › The focus is on the **toxicity and tolerability**
- › Patients are added **sequentially**
- › The objective is to find the **target dose (TD)**
- › Conventionally, TD corresponds to certain risk of Dose Limiting Toxicity (DLT), e.g. **20%-33%**

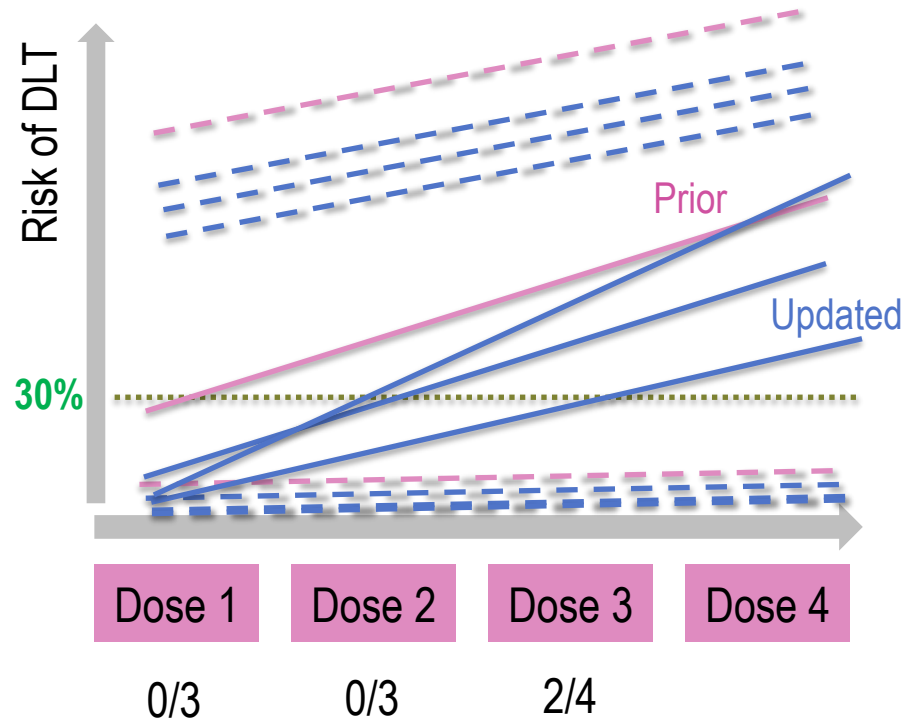


MODEL-BASED APPROACHES TO DOSE-FINDING

- ◆ Assume a **working model** for dose-toxicity
Risk of DLT = function (dose, parameters)
- ◆ Estimate parameters **using all the data**
Continual reassessment method (CRM), Bayesian Logistic Regression Model (BLRM), Escalation with Overdose Control (EWOC)

Design:

- ◆ Specify the target (e.g. 30%)
- ◆ Specify criteria (e.g. closest but below 30%)
- ◆ Specify “prior” dose-toxicity curve
- ◆ Update model and estimates **for all doses**



HOW TO SET UP THE MODEL

“Prior information” can be based on

- Clinical knowledge (including “we do not know much”, aka **operational prior**)
- Historical clinical data or pre-clinical data

Means of evaluation:

- **Example outputs**

What will be toxicity estimates if 2/4 DLTs?

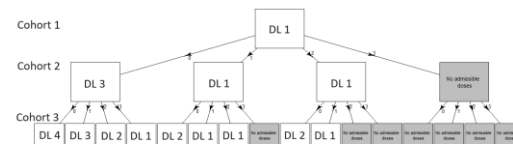
- **Decision-tree**

Ensures that escalation/de-escalation decisions are aligned

- **Simulations**

Ensures that the design, on average, results in accurate dose selection (over different dose-toxicity curves)

10 mg	20 mg	30 mg	40 mg	50 mg
n=4	n=0	n=0	n=0	n=0
DLTs=2	DLTs=0	DLTs=0	DLTs=0	DLTs=0
Mean Tox=0.26	Mean Tox=0.38	Mean Tox=0.46	Mean Tox=0.53	Mean Tox=0.59
95%CI=(0.04,0.66)	95%CI=(0.07,0.79)	95%CI=(0.09,0.85)	95%CI=(0.12,0.9)	95%CI=(0.15,0.93)
Overdose=31%	Overdose=56%	Overdose=70%	Overdose=80%	Overdose=86%
Target=27%	Target=23%	Target=18%	Target=13%	Target=9%

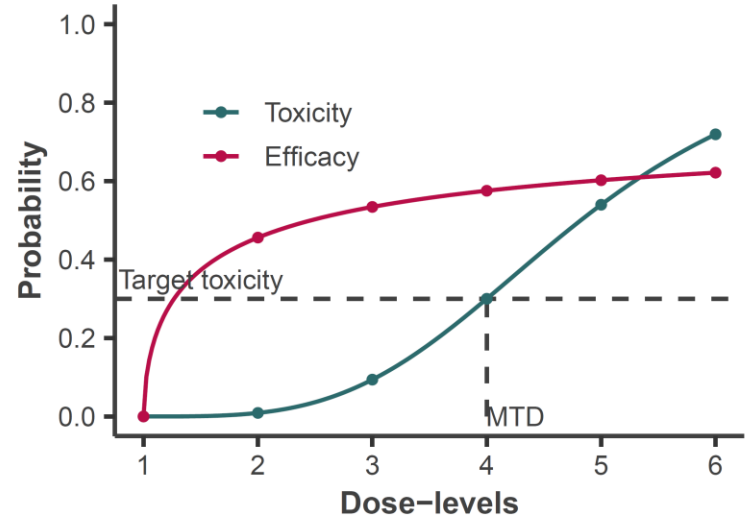
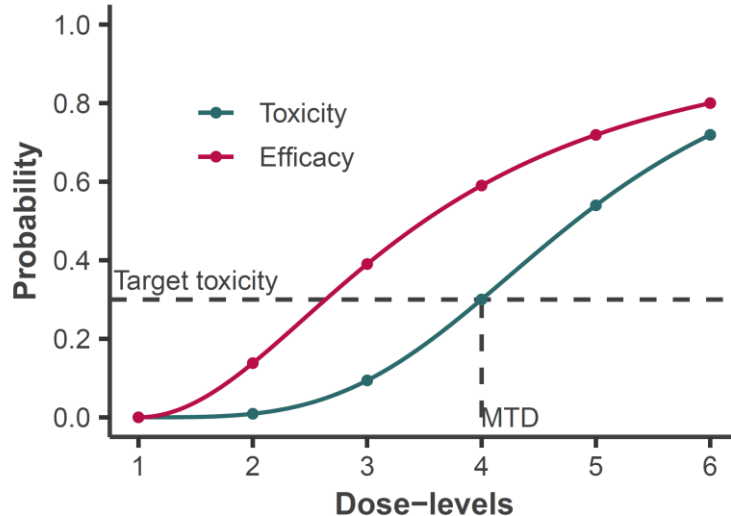


Characteristics	Option 1: Slow and semi-efficient	Option 2: Fast and efficient
Chance to select the right mono dose	64%	67%
Chance to select toxic mono dose	16%	8%
Chance to select the right combo dose	58%	58%
Chance to select the toxic combo dose	12%	5%

ON THE IMPORTANCE OF FINDING THE OPTIMAL BIOLOGIC DOSE (OBD)

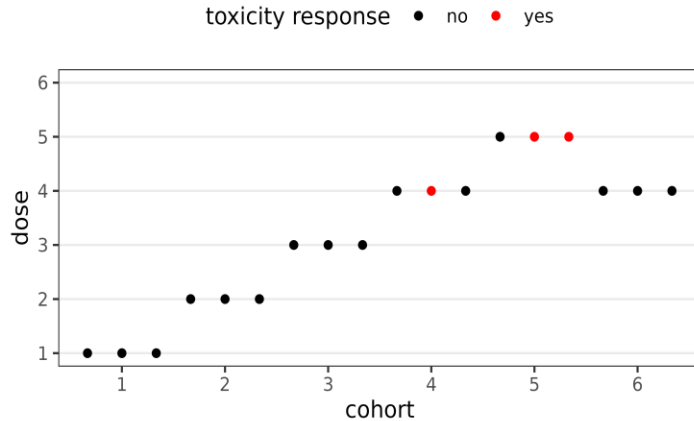
Dosage considerations for the full development:

- ◆ Too high dosage may bring safety concerns, potentially leading to an efficacious compound not entering into market
- ◆ Wrong dosing regimen may decrease the efficacy
- ◆ Postmarketing trials to evaluate lower doses

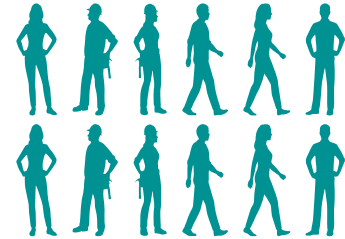


FURTHER STRATEGIES FOR DOSE OPTIMISATION: DOSE EXPANSION

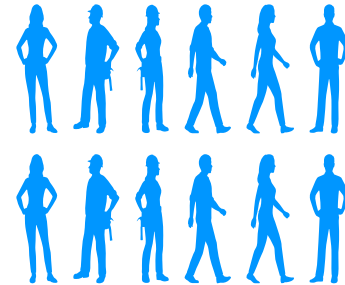
- ◆ **Dose expansion** at the end of the dose-escalation
 - ◆ Dose escalation may **not yield enough data** to reliably identify a safe and efficacious dose
 - ◆ Collect more information on efficacy and toxicity before recommending a dose for study in Phase II



d_a



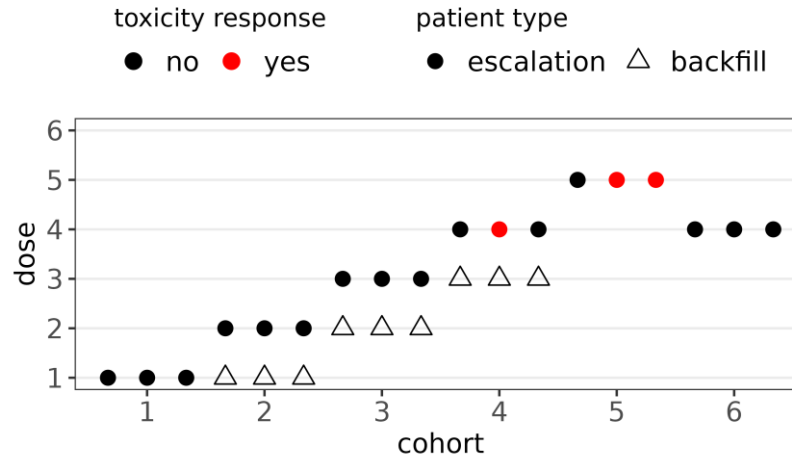
d_b



At how many doses expand? How many patients?

FURTHER STRATEGIES FOR DOSE OPTIMISATION: BACKFILLING

- ◆ **Backfilling cohorts** during the escalation
 - ◆ Dose escalation schemes target the MTD, so the majority of the data will be near the estimated MTD
 - ◆ OBD and MTD might not be the same for the new targeted therapies
 - ◆ Collect more efficacy and toxicity information on the lower dose

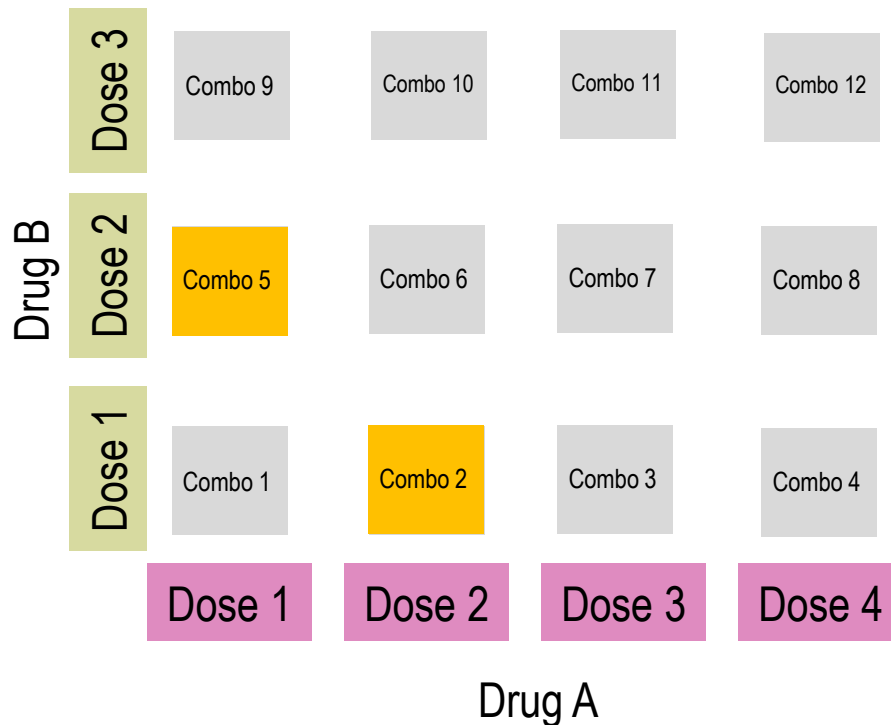


At how many doses expand? How many patients? When to start? When to finish?

DOSE-FINDING IN DRUG COMBINATIONS

- ◆ Dual-agent combination
- ◆ 4 doses of Drug A; 3 doses of Drug B
- ◆ The objective is to find the **target combo (TC)**
- ◆ Commonly, defined in terms of **target DLT rate**

- ◆ **Challenge:** unknown toxicity ordering between some of the combination, e.g. Combo 2 and Combo 5



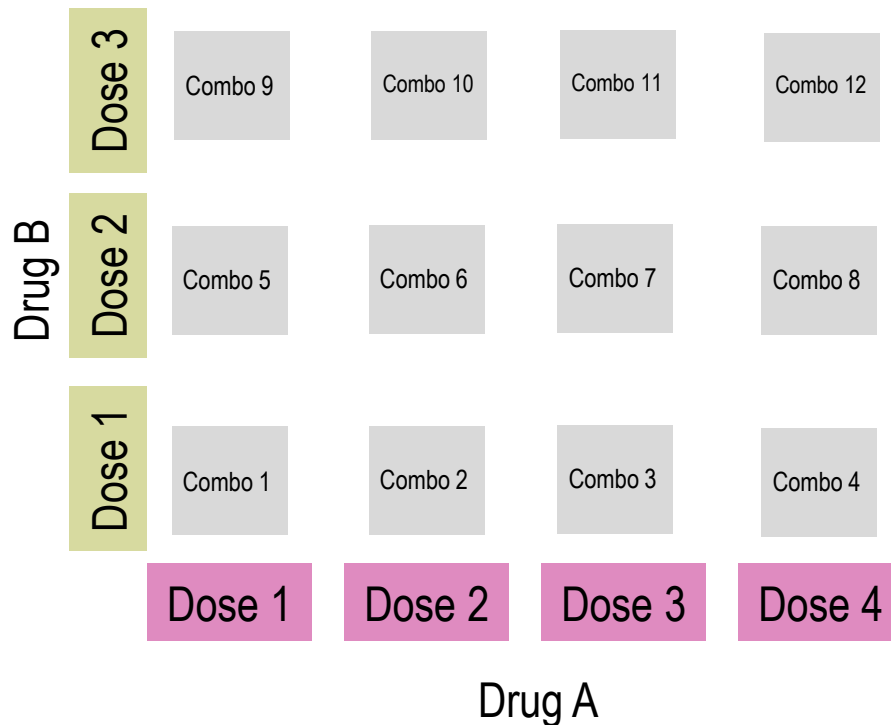
DOSE-FINDING IN DRUG COMBINATIONS: APPROACHES

- ◆ **Fixing a dose?**

Combining with novel agent? Existing/approved agent? What was the toxicity rate for the backbone?

- ◆ **Fixing a “path”?**

How is this path selected before the trial has started?



DOSE-FINDING IN DRUG COMBINATIONS: APPROACHES

- ◆ **Fixing a dose?**

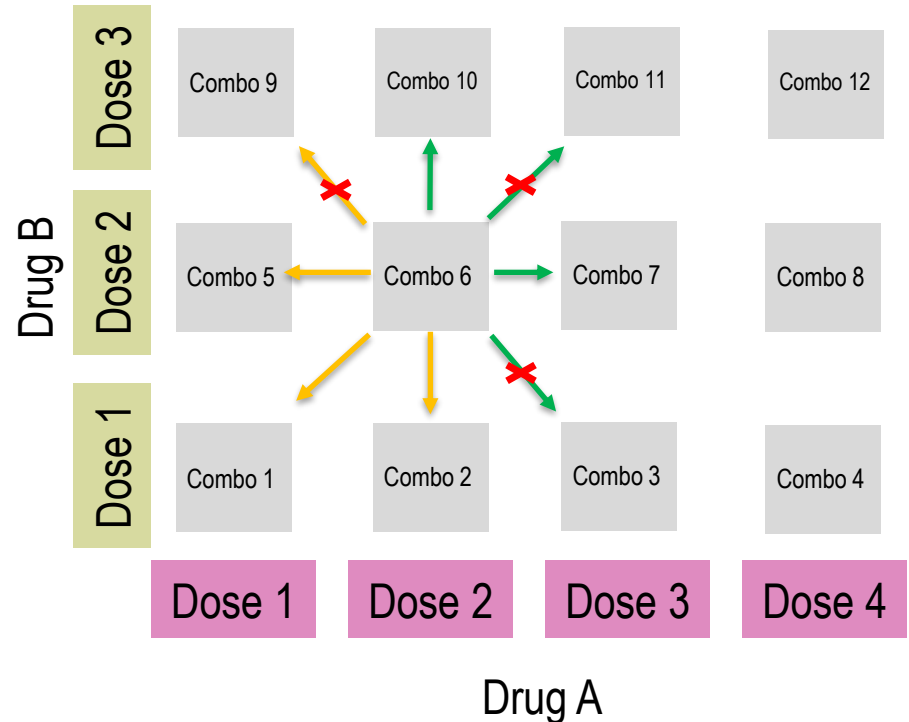
Combining with novel agent? Existing/approved agent? What was the toxicity rate for the backbone?

- ◆ **Fixing a “path”?**

How is this path selected before the trial has started?

- ◆ **Considering the whole combination grid**

Define “admissible” set. No diagonal escalation? Parallel cohorts?



What if the optimal combination is not included in the grid?

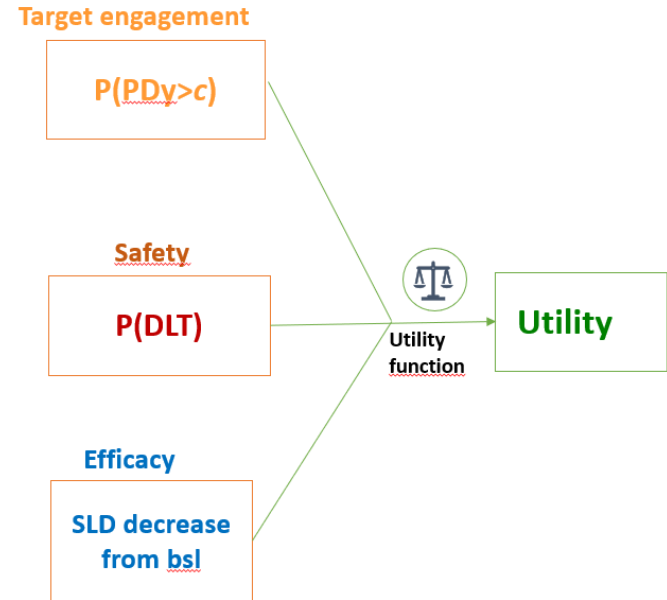
MODEL-BASED APPROACHES TO OBD SELECTION

The fundamentals of model-based approaches remain

- ◆ Choose the **working model for each endpoint**
- ◆ Choose the **utility function**
- ◆ **Update the model** with information
- ◆ Recommend the dose for the next cohort

Means of evaluation:

- ◆ Decision-trees, examples, simulations are used to fine-tune the design



THANK YOU!