



# Leveraging RWD for safety evaluation throughout the lifecycle

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**RWD eSIG Session**

Real-world data – do you know all the opportunities? The key questions they can answer and how.

# Disclaimer

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Is there an unmet need?

What happens in clinical practice?

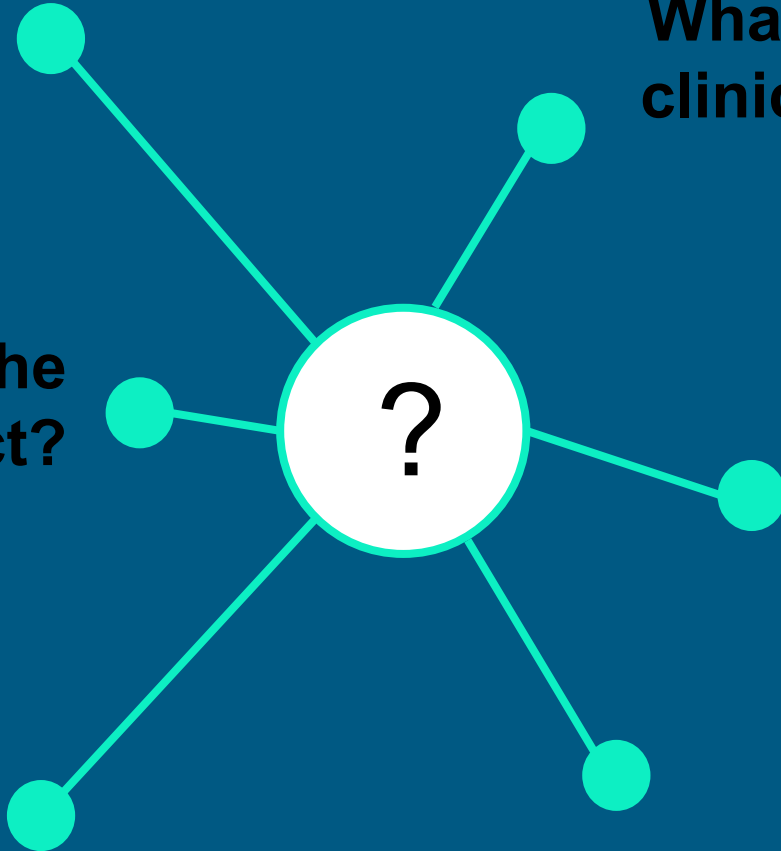
What is the patient impact?

Are there safety concerns?

Is treatment cost-effective?

Is treatment effective?

Real-world data can help answer key questions



# There are safety-related RWD opportunities throughout the lifecycle



Expected safety profile given Mechanism of Action – what might we learn from other drugs on the market?

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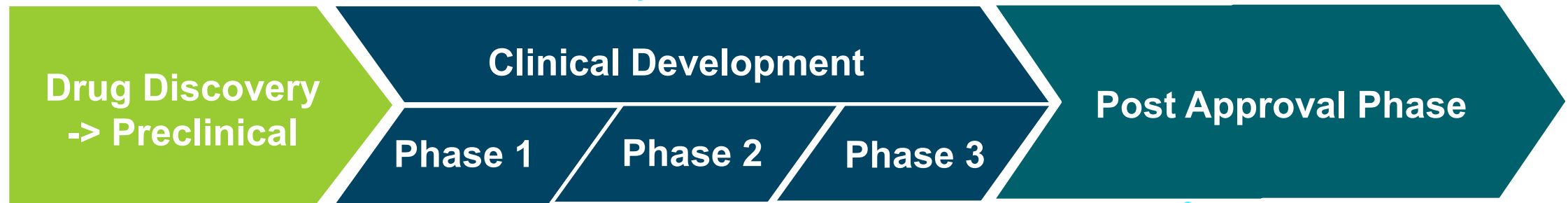
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- An unanticipated AE occurs during trial, can we contextualise?



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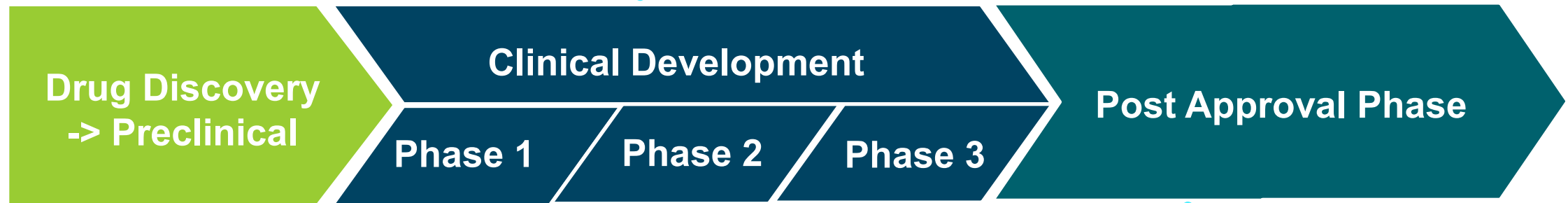
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- Post Approval Safety Studies to assess specific signals
- Effectiveness of risk-minimization measures

# There are safety-related RWD opportunities throughout the lifecycle

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- RW Safety in labelled population
- RW Safety in broader population and subgroups or combinations
- Comparative safety



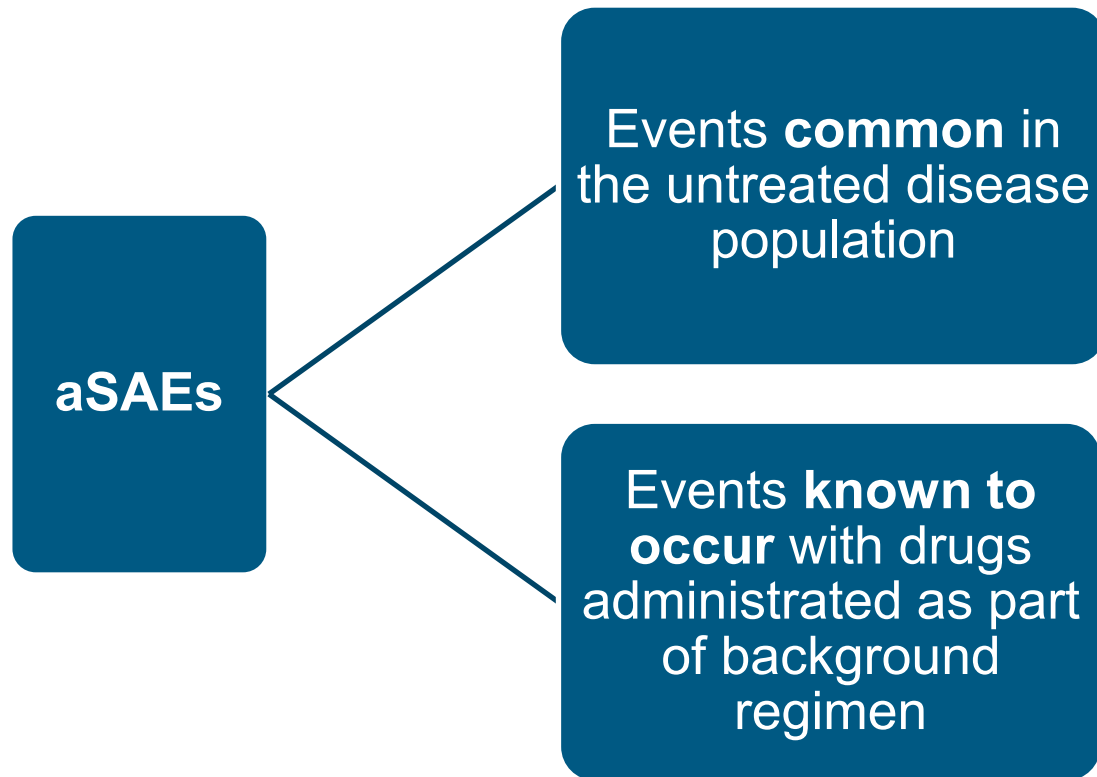
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# Background Incidence of Anticipated SAEs



*RWD may support a trigger approach for IND safety reporting*



- aggregate analysis necessary to determine whether these events meet reporting criteria
- use all available data, including placebo databases, historical data, literature, external epidemiological databases, electronic health records, and disease-specific registries
- a predicted event rate is determined based on information applicable to the study population (e.g., age, comorbidities, concomitant treatments)
- the “trigger” is when the background incidence is exceeded (at which point further investigative action is warranted)

*US FDA. “Sponsor Responsibilities — Safety Reporting Requirements and Safety Assessment for IND and Bioavailability/Bioequivalence Studies: Guidance for Industry”. Dec 2025.*

# Example: Interactive RSHINY insurance claims data dashboard combines a dynamic patient selection with events occurring longitudinally

Women Aged 40 to 79

Baseline Cohort Configuration

Total Patients Selected: 250000 (100% of the 250000 initial 4013429 women in the U.S. by age distribution)

Slide the bar to pick any age range.

Click any comorbid condition or combos.

Baseline prevalence rates change for what you click.

What's the prevalence of diabetes in this population? Benchmark says to expect it in 13.35% of patients.

Home | Baseline Cohort | Incidence & Events | Help

Baseline Criteria | Baseline Criteria by Age Group | Baseline Conditions

Baseline Criteria by Medical Code

Excel

Search:

Criteria	Criteria Type	Patients Having Claim	% Having Claim	Patients Having Second Claim	% Having Second Claim
disease due to alcohol	Condition	186	0.07%	91	0.04%
na	Condition	3272			
st cancer	Condition	7738			
ary artery bypass grafting	Procedure	103			
ary heart disease	Condition	12000			
Received cholesterol lowering therapy	Therapy	9390			
Colon cancer	Condition	792			
Deep vein thrombosis	Condition	1628			
Dementia	Condition	1442	0.58%	796	0.32%
Diabetes	Condition	33386	13.35%	25729	10.29%
			11.36%	26171	10.47%

Criteria Selection

Criteria Description	Criteria Type	Require	Exclude	Ignore
All	All	All	All	All
Liver disease due to alcohol	Condition	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Angina	Condition	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Breast cancer	Condition	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

Total Patients Selected: 2182 (5.2% of the 41578 initial patients)

### Patient Demographics

**Patient Age Range:**

**Patient Gender:**

Males & Females  Males only  Females only

**Payer Type:**

Commercial & Medicare  Commercial  Medicare

### Criteria Selection

(Select "Require" for inclusion criteria, "Exclude" for exclusion criteria)

Criteria Type	Criteria Description	Require	Exclude	Ignore
All	All	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protocol Inclusion	Dry AMD	<input checked="" type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protocol Inclusion	Dry AMD (Second diagnosis 30 days apart)	<input checked="" type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protocol Inclusion	Geographic atrophy (GA)	<input checked="" type="radio"/>	<input type="checkbox"/>	<input type="checkbox"/>
Protocol Exclusion	Wet AMD	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="radio"/>
condition	Choroidal neovascularization, active	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="radio"/>

Proxy trial entry criteria

Home Baseline Cohort Incidence & Events Help

Incidence Density Incidence Density by Age Group

Excel

Criteria	Criteria Type	Patients Having Claim	Patients Having Claim per 1,000 person-years (Standardized)	Patients Having Second Claim
All	All	All	All	All
Dry AMD	Protocol Inclusion	1,684	1,350	1,321
Geographic atrophy (GA)	Protocol Inclusion	1,351	788	956
Wet AMD	Protocol Exclusion	820	271	684
Choroidal neovascularization, active	condition			533
Choroidal neovascularization, inactive	condition			223
Intraocular inflammation, Endophthalmitis	condition			2
Intraocular inflammation, Uveitis	condition			11
Optic Ischemic Neuropathy	condition			7
Alcohol abuse	Comorbidity			16
Atherosclerosis coronary artery	Comorbidity			416
Cerebrovascular disease	Comorbidity	440	151	209
Cerebrovascular disease sequelae	Comorbidity	82	25	38
Chronic pulmonary disease	Comorbidity	483	173	315
Heart failure	Comorbidity	543	199	365
Hyperlipidemia	Comorbidity	1,524	994	1,224
Hypertension complicated	Comorbidity	708	273	427
Hypertension uncomplicated	Comorbidity	1,701	1,436	1,475

Flexible, instant benchmarks for safety monitoring

Where baseline prevalence rates are cross-sectional, incidence looks forward.

Require continuous enrollment in baseline period, not for follow-up period

# ICH M14 came into effect in March 2026

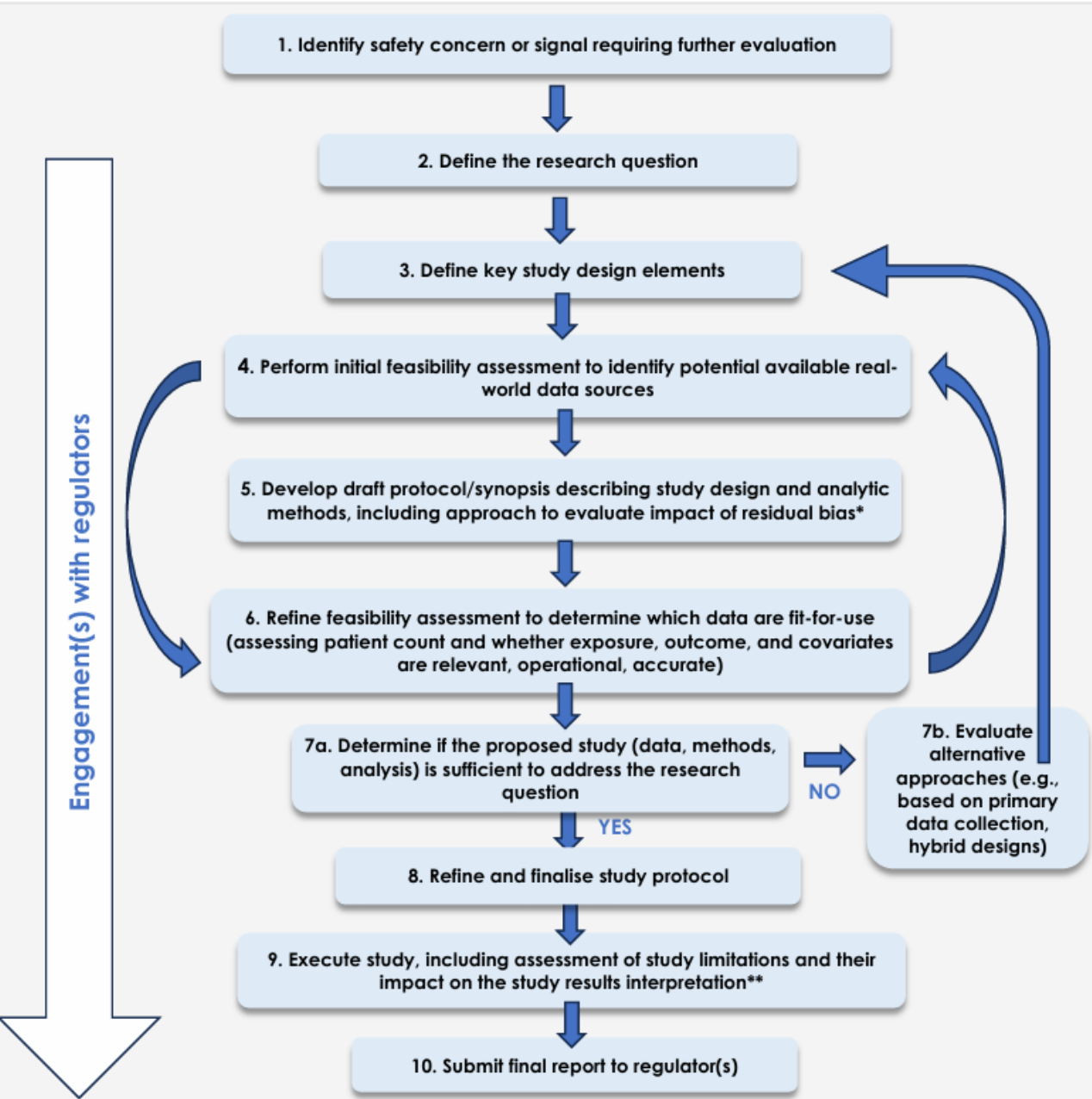
## *General Principles on Plan, Design and Analysis of Pharmacoepidemiological Studies That Utilise Real-World Data for Safety Assessment of Medicines*

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- Harmonised guidance for non-interventional studies using RWD
- Aims to improve consistency and reduce duplication across regions and support regulatory decision-making with real-world evidence (RWE)
- Early interaction with regulators encouraged
- Frameworks from non-governmental groups mentioned
  - (ENCePP) Guide on Methodological Standards in Pharmacoepidemiology, The Sentinel Innovation Center with the PRINCIPLED framework, ISPE/ISPOR's HARmonized Protocol Template to Enhance Reproducibility (HARPER) Initiative

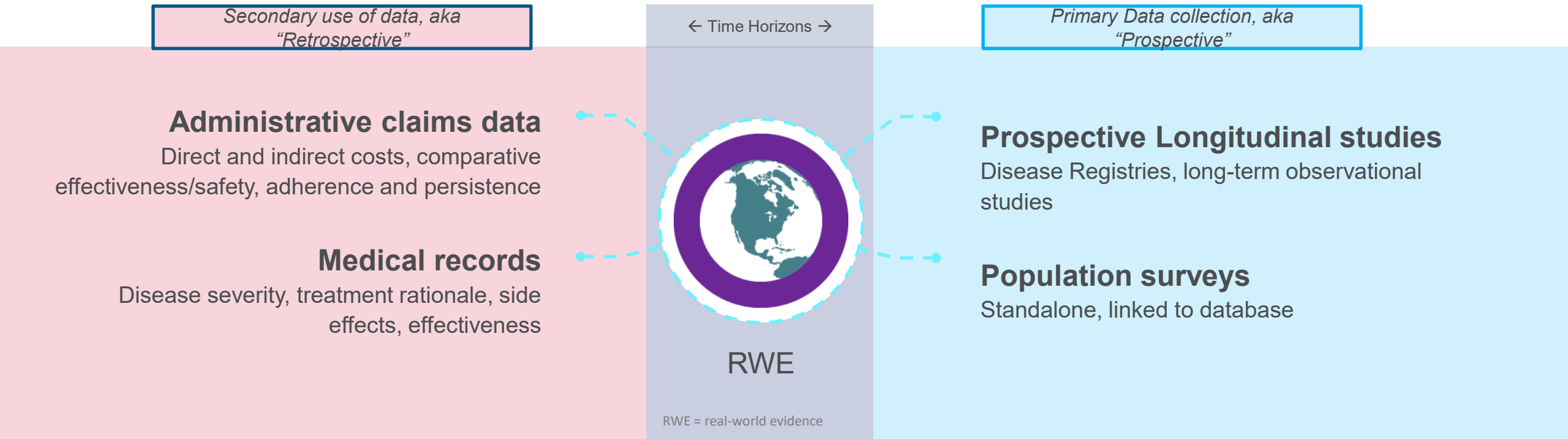
**Figure 1. A conceptual framework for generating adequate evidence using fit-for-use real-world data to address regulatory questions on the safety of medicines**



- ← Start with a clearly defined research question – causal/descriptive
- ← Target Trial Emulation referred to
- ← Initial scan to narrow data options
- ← Concept & operational definitions for exposure, outcomes, covariates
- ← Can data support operational definitions? Reference to SPIFD.
- ← Reliability and relevance. Data to fit the study not the study to fit the data
- ← Aim to calculate unbiased estimate, address confounding. DAG.
- ← Study Registration
- ← Be transparent what's post-hoc

# Primary & Secondary Non-Interventional Evidence Generation

- “Real-world” data emerges from where treatments are chosen by patients or providers (as in routine practice)
- The terms prospective and retrospective less preferred



# Biases

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Selection bias

Information bias – recall, protopathic, surveillance/detection

Misclassification

Volunteer or interviewer bias

Referral bias, self-selection bias

Prevalent user bias

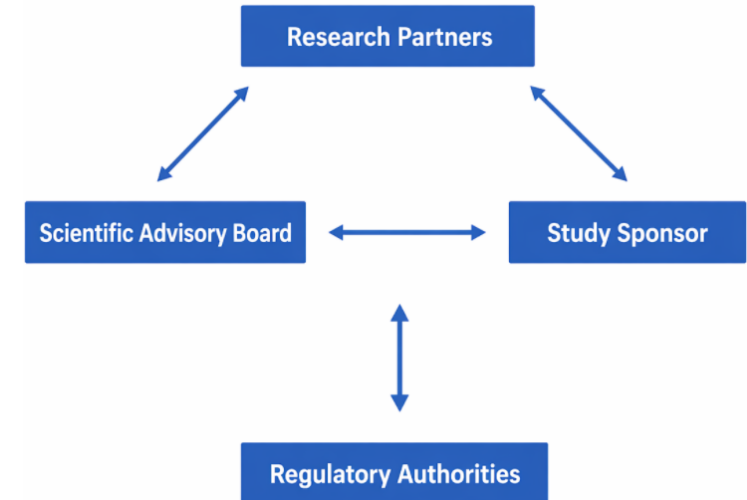
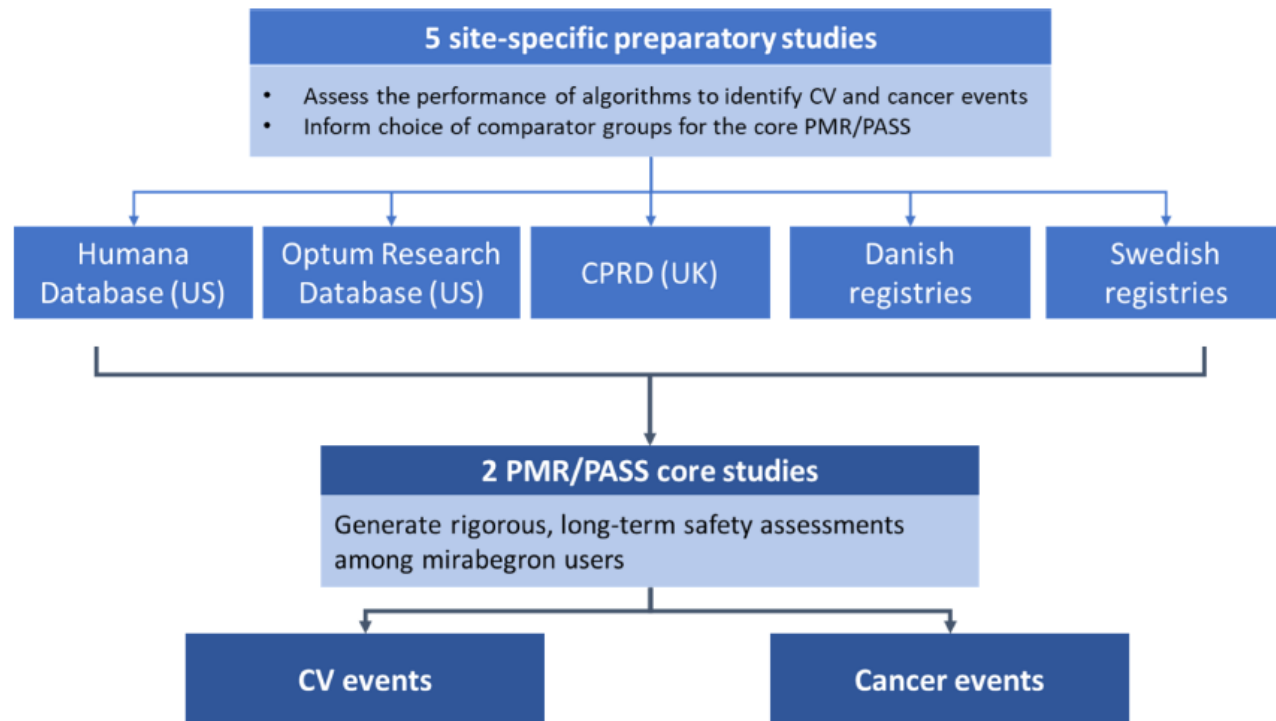
Time-related bias – immortal time, immeasurable time

Confounding (time-varying, measured, unmeasured, controlled, residual)

...Quantitative bias analysis

# Example: NI-PASS Program

- Product approved for treatment of overactive bladder. In phase 3 trials, potential safety concerns were identified.
- Post-marketing requirements were issued.



# Example: design elements of statistical interest



## Study documents

- 5 study protocols (combining CV and cancer outcomes)
- 5 interim reports
- 5 final reports

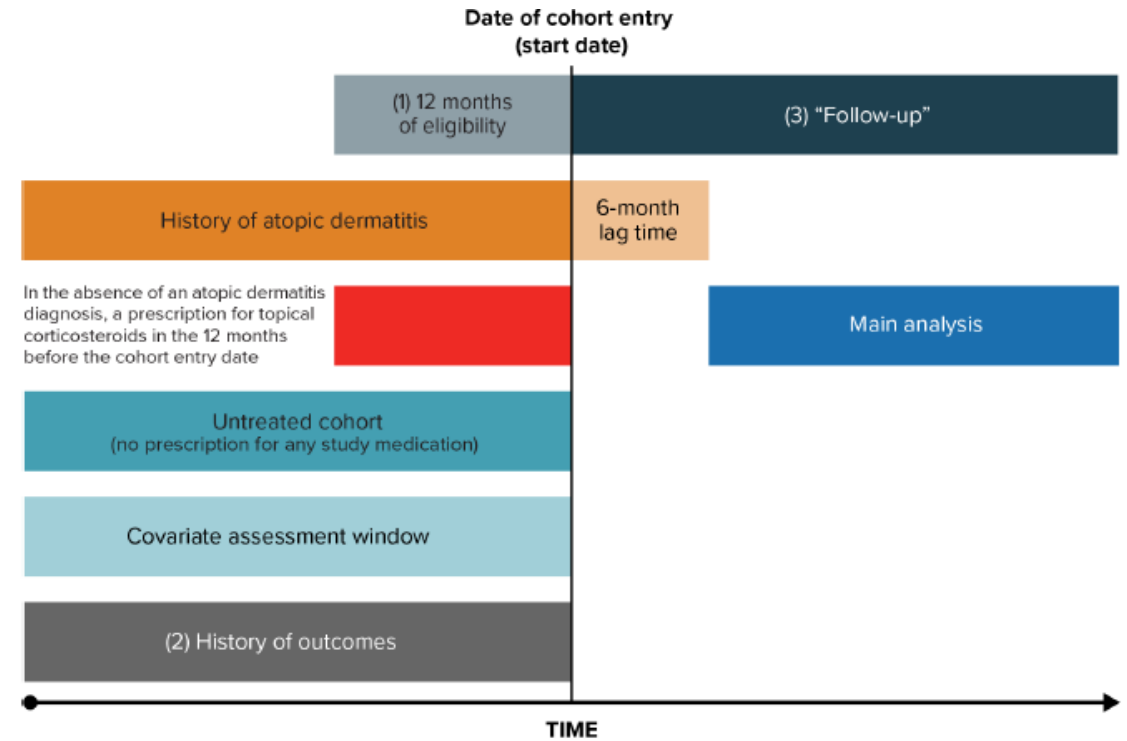
- 2 core protocols
- 10 site-specific protocols (separate for CV and cancer outcomes in each site)
- 2 core statistical analysis plans
- 2 core interim reports
- 2 core final reports
- 10 site-specific final reports

All required for submission to FDA and/or EMA

- Outcome & key covariate validation
- New user design
- Mixed treatment comparator
- Propensity score matching
- Interim power assessment
- Meta-analysis

# Example 2

- Product approved for atopic dermatitis, PASS for lymphoma
- Protopathic bias (reverse causation), confounding by indication
- Type of prescriber (1<sup>st</sup> prescription) proxy for indication severity.
  - Corrected for in CPRD and Denmark Using Probabilistic Bias Analysis



Availability of Characteristic	UK-CPRD GOLD	NL-PHARMO	Danish Health Registers	Swedish National Registers
Drug indication	Yes (ish)	No	No	No
Type of prescriber info	No (GP only)	Yes	No	Yes
Cancer data linkage	Partial	Yes	Yes	Yes

# Regulators also have infrastructure to use RWD for safety assessment

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<https://www.sentinelinitiative.org/>



<https://darwin-eu.org/>

Data Analysis and Real-World Interrogation Network

# If you are a project statistician and RWD-based safety info passes your desk, consider these issues..

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- For “simple” analyses
  - Choice of denominator can make a large difference to estimates
  - How conditions are coded/grouped etc will make a big difference to the rates – translation across coding dictionaries isn’t easy..
- For rigorous studies
  - Are the required covariates available/included for adjustments
  - Avoid/quantify those biases!

# Thanks for listening and supporting responsible data collection & re-use!



OR



# References

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- ICH M14 guideline: “General principles on plan, design, conduct and interpretation of non-interventional studies using real world data for regulatory purposes” (Step 2 draft). 2023. <https://www.ich.org>
- US FDA. “Sponsor Responsibilities — Safety Reporting Requirements and Safety Assessment for IND and Bioavailability/Bioequivalence Studies: Guidance for Industry”. Dec 2025. <https://www.fda.gov/media/150356/download>
- de Vogel S, Seeger JD, Arana A, et al. Lessons learned from a multi-data source research collaboration: The mirabegron post-authorization safety study program. *Pharmacoepidemiol Drug Saf.* 2024;33(5):e5799. doi:10.1002/pds.5799 [in turn includes references to publications of the studies in the program]
- Jordi Castellsague, Josephina G Kuiper, Anton Pottegård, Ingegård Anveden Berglind, Daniel Dedman, Lia Gutierrez, Brian Calingaert, Myrthe PP van Herk-Sukel, Jesper Hallas, Anders Sundström, Arlene M Gallagher, James A Kaye, Carolina Pardo, Kenneth J Rothman & Susana Perez-Gutthann (2018) A cohort study on the risk of lymphoma and skin cancer in users of topical tacrolimus, pimecrolimus, and corticosteroids (Joint European Longitudinal Lymphoma and Skin Cancer Evaluation – JOELLE study), *Clinical Epidemiology*, 299-310, doi: 10.2147/CLEP.S146442
- <https://www.sentinelinitiative.org/>
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Q & A

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*"We are a community dedicated to leading and promoting the use of statistics within the healthcare industry for the benefit of patients."*